



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Group Art Unit: 2681

Sung-jin KIM et al

Serial No. 09/909,858

Filed: July 23, 2001

For: TRANSMISSION ANTENNA DIVERSITY METHOD, AND BASE STATION APPARATUS AND MOBILE STATION APPARATUS THEREFOR IN MOBILE COMMUNICATION SYSTEM

RECEIVED

OCT 01 2001

Technology Center 2600

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §1.56**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of a documents known to applicants in order to comply with applicants' duty of disclosure pursuant to 37 C.F.R. §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 C.F.R. §§1.97 & 1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application. Applicants do not waive any rights to take any action that would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

CONCISE EXPLANATION OF  
RELEVANCE OF EACH DOCUMENT

These references are discussed in the specification of the instant application; see page 4.

The listed documents are being submitted in compliance with 37 C.F.R. §1.97(b)(3),  
submission before the mailing date of the first action on the merits.

Applicants respectfully request that the listed documents be considered by the  
Examiner and be made of record in the present application and that an initialed copy of Form  
PTO-1449 be returned in accordance with MPEP §609.

Respectfully submitted,

September 27, 2001  
Date



Eugene M. Lee  
Registration No. 32,039  
Richard A. Sterba  
Registration No. 43,162

THE LAW OFFICES OF EUGENE M. LEE, P.L.L.C.  
2111 Wilson Boulevard, Suite 1200  
Arlington, Virginia 22201  
Telephone: (703) 525-0978

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE



ATTY DOCKET NO.  
249/271

SERIAL NO  
09/909,858

**APPLICANT**  
Sung-jin KIM et al

**FILING DATE**  
July 23, 2001

GROUP  
2681

U.S. PATENT DOCUMENTS

RECEIVED  
OCT 01 2001  
Technology Center 2000

#### FOREIGN PATENT DOCUMENTS

**OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)**

|  |  |  |  |
|--|--|--|--|
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

**EXAMINER**

**DATE CONSIDERED**

**\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.**